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## Dipole-LUMO/Dipolarophile-HOMO Controlled Asymmetric Cycloadditions of Carbonyl Ylides Catalyzed by Chiral Lewis Acids

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## **ABSTRACT**

We have found the first successful example of reverse-electron-demand dipole-LUMO/dipolarophile-HOMO controlled cycloaddition reactions between carbonyl ylides, which were generated from o-methoxycarbonyl- $\alpha$ -diazoacetophenone and their acyl derivatives as precursors, and vinyl ether derivatives with high levels of asymmetric induction (97–77% ee) using chiral 2,6-(oxazolinyl)pyridine—Eu(III) or binaphthyldiimine—Ni(III) complexes as chiral Lewis acid catalysts.

Tandem Rh(II)-catalyzed carbonyl ylide formation/1,3-dipolar cycloaddition sequence of  $\alpha$ -diazocarbonyl compounds with dipolarophiles has been realized as a powerful and efficient methodology for the synthesis of epoxy-bridged complex polycyclic systems. Recently, this method has been applied toward the syntheses of a variety of biologically important natural products such as brevicomin, zaragozic acids, illudins, epoxysorbicillinol, colchicines, aspidophytine, and polygalolides. Consequently, it has been a

challenge to develop the catalytic enantioselective variant of the methodology in the efficient asymmetric synthesis of medicinally important oxygen-containing polycyclic com-

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pounds. Hodgson<sup>9</sup> and Hashimoto<sup>10</sup> have independently developed a highly enantioselective chiral Rh(II)-catalyzed procedure that features a chiral Rh(II)-associated carbonyl ylide in the transition state. In contrast, we have developed a conceptually different approach that involves the enantioselective dipole-HOMO/dipolarophile-LUMO controlled cycloadditions of 2-benzopyrylium-4-olate with electron-deficient carbonyl and olefinic dipolarophiles in the presence of rare earth metal complexes of chiral 2,6-(oxazolinyl)-pyridine (Pybox) as the chiral Lewis acid catalyst.<sup>11</sup> In this paper, we report the first successful examples of reverse-electron-demand dipole-LUMO/dipolarophile-HOMO controlled cycloadditions between 2-benzopyrylium-4-olates and vinyl ether derivatives, which were activated by chiral Lewis acids with high levels of asymmetric induction.

Initially, we examined the reaction of o-methoxycarbonyl- $\alpha$ -diazoacetophenone (1) with butyl vinyl ether (2a) in the presence of achiral Lewis acids. The reaction was carried out by adding a solution of acetophenone 1 to olefin 2a (2 equiv) over a period of 1 h in the presence of  $Rh_2(OAc)_4$  (2 mol %) in  $CH_2Cl_2$  at room temperature (Scheme 1). In

Scheme 1. Reaction of Diazoacetophenone 1 with Olefin 2a

$$CO_2Me$$
 $CHN_2$ 
 $Rh_2(OAc)_4$ 
 $CHN_2$ 
 $Rh_2(OAc)_4$ 
 $R$ 

comparison to the uncatalyzed reaction (8% yield, endo/exo = 87:13), the reactions in the presence of  $Yb(OTf)_3$  or  $Tm(OTf)_3$  (10 mol %) resulted in higher diastereoselectivities (both endo/exo = 94:6)<sup>12</sup> and yields (50% and 75%, respectively). On the basis of these encouraging results, complexes of chiral Pybox ligands (Figure 1) and  $Yb(OTf)_3$ 

exo-3a - d

endo-3a - d

$$R^{2} \stackrel{\bigcirc{}_{N}}{\underset{R^{1}}{\bigvee}} \stackrel{\bigcirc{}_{N}}{\underset{N}{\bigvee}} R^{2}$$

(S,S)-Pybox-*i*-Pr:  $R^1 = i$ -Pr,  $R^2 = H$  (S,S)-Pybox-Ph:  $R^1 = Ph$ ,  $R^2 = H$ (4S,5S)-Pybox-4,5-Ph<sub>2</sub>:  $R^1 = Ph$ ,  $R^2 = Ph$ 

(R)-BINIM-2QN: X = H (R)-BINIM-4Me-2QN: X = Me (R)-BINIM-4(3,5-Xylyl)-2QN: X = Xylyl

Figure 1. Structures of ligands.

were investigated as chiral Lewis acid catalysts (Table 1). Among the three chiral Pybox ligands, (4*S*,5*S*)-Pybox-Ph<sub>2</sub> showed moderate enantioselectivity and yield for the endo

**Table 1.** Reactions of Diazoacetophenone **1** with Olefins **2a**—**d** Catalyzed by Chiral Pybox—Lanthanoid Triflate Complexes<sup>a</sup>

entry	R	ligand	M	T (°C)	yield (%)	$\mathrm{d}\mathrm{r}^b$	$ee^{c}$ (%)
1	Bu	Pybox-i-Pr	Yb	rt	44	83:17	4
2	Bu	Pybox-Ph	Yb	$\mathbf{rt}$	48	84:16	2
3	Bu	Pybox-Ph <sub>2</sub>	Yb	$\mathbf{rt}$	57	75:25	62
4	Bu	Pybox-Ph <sub>2</sub>	Yb	reflux	80	75:25	67
5	Bu	Pybox-Ph <sub>2</sub>	Eu	reflux	94	81:19	81
6	Bu	Pybox-Ph <sub>2</sub>	Gd	reflux	quant	81:19	85
7	Bu	Pybox-Ph <sub>2</sub>	Ho	reflux	89	79:21	85
8	Bu	Pybox-Ph <sub>2</sub>	Eu	0	20	86:14	8
9	Bu	Pybox-Ph <sub>2</sub>	Eu	25	48	77:23	36
10	Bu	Pybox-Ph <sub>2</sub>	Eu	30	78	81:19	76
11	$\mathbf{Et}$	Pybox-Ph <sub>2</sub>	Eu	reflux	92	83:17	83
12	t-Bu	Pybox-Ph <sub>2</sub>	Eu	reflux	91	87:13	88
13	$\mathrm{C}\mathrm{y}^d$	Pybox-Ph <sub>2</sub>	Eu	reflux	quant	88:12	95
14	$\mathrm{C}\mathrm{y}^d$	Pybox-Ph <sub>2</sub>	Gd	reflux	93	88:12	94
15	$\mathbf{C}\mathbf{y}^d$	Pybox-Ph <sub>2</sub>	Ho	reflux	99	88:12	96

<sup>a</sup> The reaction was carried out by adding a solution of 1 in CH<sub>2</sub>Cl<sub>2</sub> to a suspension of 2a−d, Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol %), MS 4 Å, and Pybox-M(OTf)<sub>3</sub> complexes (10 mol %) over a period of 1 h. <sup>b</sup> Endo/exo determined by <sup>1</sup>H NMR. <sup>c</sup> Enantiomeric excess of endo adduct determined by chiral HPLC. <sup>d</sup> Cyclohexyl.

cycloadduct (entry 3), which were improved (yield 80%) by refluxing in CH<sub>2</sub>Cl<sub>2</sub> (entry 4). The effects of the ionic radius of lanthanoid metals on the enantio- and diastereoselectivity were investigated using (4S,5S)-Pybox-Ph<sub>2</sub> as the ligand and refluxing in CH<sub>2</sub>Cl<sub>2</sub>; promising results were obtained for Eu(OTf)<sub>3</sub>, Gd(OTf)<sub>3</sub>, and Ho(OTf)<sub>3</sub> in terms of enantioselectivity (entries 5-7) (see the Supporting Information for additional details). It is noteworthy that the reaction temperature dramatically influenced the yields and enantioselectivities for the (4S,5S)-Pybox-Ph<sub>2</sub>-Eu(III)-catalyzed reactions (entries 5, 8-10). Accordingly, the low yield and enantioselectivity for the reaction at 0 °C were drastically improved by raising the reaction temperature. In regard to the R group on the vinyl ether, a series of reactions in the presence of the (4S,5S)-Pybox-Ph<sub>2</sub>-Eu(III) catalyst under reflux in CH<sub>2</sub>Cl<sub>2</sub> revealed that a bulky substituent such as

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<sup>(12)</sup> The endo adduct is defined as the product in which the more important substituent is on the opposite side of the epoxy bridge.

*t*-Bu and cyclohexyl yielded higher diastereo- and enantio-selectivities (entries 11–13)—the reaction involving cyclohexyl vinyl ether (**2d**) gave an enantioselectivity of 95% ee (entry 13).<sup>13</sup> Similarly, catalysts involving Gd(III) (entry 14) and Ho(III) (entry 15) exhibited extremely high enantioselectivity in their reactions with cyclohexyl vinyl ether.

To investigate the generality of our methodology on other diazo compounds, the reaction between  $\alpha,\alpha'$ -dicarbonyl diazo substrate **4**, which was prepared from diazoacetophenone **1** according to the procedure reported by Padwa,<sup>14</sup> and butyl vinyl ether (**2a**) was carried out (Scheme 2). Although various combinations of the chiral Pybox ligands and lanthanoid triflates as catalysts did not give satisfactory enantioselectivities,<sup>15</sup> our chiral catalyst consisting of Ni- $(ClO_4)_2 \cdot 6H_2O$  and chiral binaphthyldiimine (BINIM) as the ligand,<sup>16</sup> showed promising enantioselectivity with extremely high endo selectivity (Table 2, entries 1–3). As seen for the

**Table 2.** Reactions of Diazo Compound **4** with Olefin **2a** Catalyzed by (*R*)-BINIM—Ni(II) Complexes<sup>a</sup>

entry	BINIM	<i>T</i> (°C)	yield (%)	$\mathrm{d}\mathrm{r}^b$	ee <sup>c</sup> (%)
1	BINIM-2QN	rt	78	>99:1	59
2	BINIM-4(3,5-Xylyl)-2QN	rt	86	>99:1	42
3	BINIM-4Me-2QN	$\mathbf{rt}$	86	>99:1	73
4	BINIM-4Me-2QN	reflux	99	>99:1	92

 $^a$  The reaction was carried out by adding a solution of **4** in CH<sub>2</sub>Cl<sub>2</sub> to a suspension of **2a**, Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol %), MS 4 Å, and BINIM–Ni(II) complexes (10 mol %) over a period of 1 h.  $^b$  Endo/exo determined by  $^1$ H NMR.  $^c$  Enantiomeric excess of endo adduct determined by chiral HPLC.

reactions of 1, raising the temperature of the reactions of 4 (refluxing at CH<sub>2</sub>Cl<sub>2</sub>) increased the enantioselectivity—92% ee was achieved using (R)-BINIM-4Me-2QN-Ni(II) complex as the Lewis acid (entry 4).<sup>13</sup> The unusual dependence between the selectivity and the reaction temperature can be attributed to the assumed coordination of the chiral Lewis acid to the carbonyl ylide via dissociation of the Rhassociated species to a free carbonyl ylide at higher temperatures. High enantioselectivity was also obtained for the reaction with cyclohexyl vinyl ether (2d) under similar conditions (Table 3, entry 1, Scheme 2). Subsequently, the BINIM-4Me-2QN-Ni(II) catalyst was also employed for the reactions of several  $\alpha,\alpha'$ -dicarbonyl diazo compounds 5–11 with vinyl ether 2d to give the adducts with good to excellent enantioselectivities (Table 3, entries 2-8). 13 Padwa reported that the carbonyl ylides generated from the  $\alpha,\alpha'$ -dicarbonyl diazo compounds by treatment with Rh<sub>2</sub>(OAc)<sub>4</sub> cyclized to

**Table 3.** Reactions of Diazo Compounds **4–11** with Olefin **2d** Catalyzed by (*R*)-BINIM-4Me-2QN-Ni(II) Complex<sup>a</sup>

entry	R'	substrate	product	yield (%)	$ee^b$ (%)
1	Pr	4	12d	96	93
2	$i ext{-}\!\operatorname{Pr}$	5	13d	96	97
3	Bu	6	<b>14d</b>	87	93
4	$i ext{-Bu}$	7	15d	82	88
5	pentyl	8	16d	66	84
6	cyclohexyl	9	17d	78	96
7	$PhCH_2$	10	18d	85	92
8	$PhCH_2CH_2$	11	19d	87	77

 $^a$  The reaction was carried out by adding a solution of **4–11** in CH<sub>2</sub>Cl<sub>2</sub> to a suspension of **2d**, Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol %), MS 4 Å, and (R)-BINIM-4Me-2QN-Ni(II) complex (10 mol %) over a period of 1 h.  $^b$  Enantiomeric excess determined by chiral HPLC.

the corresponding epoxyindanones, which could reproduce the carbonyl ylides by heating. We prepared the corresponding epoxyindanone from diazo compound 7, and the reaction with vinyl ether 2d was carried out using (*R*)-BINIM-4Me-2QN-Ni(II) complex (10 mol % with MS 4 Å) under similar conditions in the absence of Rh<sub>2</sub>(OAc)<sub>4</sub>. A long reaction time was needed to complete the reaction under this conditions and the enantiomeric excess of endo adduct was only 9% ee (see the Supporting Information for additional details).

To investigate the scope and limitation with regard to the structure of diazo and olefinic substrates, the reaction using 1-diazo-2,5-hexanedione (20) as a carbonyl ylide precursor and the reaction with 2,3-dihydrofuran as a dipolarophile were carried out. Although the enantioselectivity was not excellent as that obtained in the reaction between 2-benzopyrylium-4-olates and cyclohexyl vinyl ether, moderate levels of asymmetric induction were observed in both cases (Scheme 3). Thus, the reaction of 1-diazo-2,5-hexanedione (20) with butyl vinyl ether (2a) in the presence of (4S,5S)-Pybox-Ph<sub>2</sub>-Tm(OTf)<sub>3</sub> complex (10 mol %) at 23 °C gave cycloadducts with exo selectivity (exo/endo = 88:12)17 and 67% ee (exo) in 68% yield. 18 The (4S,5S)-Pybox-Ph2-Eu(OTf)<sub>3</sub>-catalyzed reaction of diazoacetophenone 1 with 2,3-dihydrofuran under the same conditions with vinyl ether 2d gave the endo cycloadduct as a sole product with 59% ee in 98% yield.

Because PM3 calculations indicated that the strongest interaction is between the LUMO of the carbonyl ylide and the HOMO of the vinyl ether on the basis of frontier orbital

Scheme 2. Reactions of Diazo Compounds 4–11 with Olefins 2a or 2d

CO<sub>2</sub>Me
$$N_2$$
 $R'$ 

2a or 2d

 $R_2$ 
Chiral
Lewis acid

 $R_2$ 
 $R_3$ 
 $R = Bu$ 
 $R_4$ 
 $R_4$ 
 $R_5$ 
 $R_5$ 
 $R_7$ 
 $R_7$ 

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<sup>(13)</sup> Absolute configuration of the cycloadduct was not determined.

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<sup>(15) (4</sup>*S*,5*S*)-Pybox-Ph<sub>2</sub>-Eu(OTf)<sub>3</sub> (10 mol %), CH<sub>2</sub>Cl<sub>2</sub>, reflux: 89% yield, 44% ee; (4*S*,5*S*)-Pybox-Ph<sub>2</sub>-Yb(OTf)<sub>3</sub> (10 mol %), CHCl<sub>3</sub>, reflux: 71% yield, 45% ee.

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Scheme 3. Reactions of Other Diazo and Olefinic Substrates

theory (see the Supporting Information), activation of the carbonyl ylide by coordination of chiral Lewis acids to carbonyl oxygen of the ylide (lowering the LUMO level of the carbonyl ylide) and accompanying enantioselctive approach of the vinyl ether from less hindered face of the carbonyl ylide might be a plausible mechanism for this reaction.

In summary, high levels of asymmetric induction were observed for the dipole-LUMO/dipolarophile-HOMO controlled cycloaddition reactions between 2-benzopyrylium-4-olates and vinyl ether derivatives, which were activated by chiral Lewis acids. To the best of our knowledge, this is the first example of such cycloaddition reactions. Studies to expand this methodology with high enantioselectivity to several other diazo substrates and dipolarophiles as well as to prove mechanistic detail are currently underway.

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**Supporting Information Available:** Representative experimental procedures and spectroscopic data of the products. This material is available free of charge via the Internet at http://pubs.acs.org.

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<sup>(17)</sup> Although the reason for the switch of the diastereoselectivity in this reaction is not clear at this point, PM3 calculations (heat of formation) of 3d, 12d, and 21 show that mostly exo cycloadducts are thermodynamically more stable than endo cycloadducts, and only  $\emph{endo-}12d$  is slightly more stable (0.81 kcal/mol) than the corresponding exo adduct.

<sup>(18)</sup> The conditions using other lanthanoid triflates, cyclohexyl vinyl ether, and under reflux in CH<sub>2</sub>Cl<sub>2</sub> did not show improved enantioselectivity.